


- 
- IHI Call 9 - Financial aspects of the proposal for single stage call

Before we start...

- We are recording this session and it will be published on the IHI website. We will also publish the presentation slides.
- The call will be launched shortly and all links and details of how to apply will be published on the IHI website and the Funding and Tenders Portal.
- If you want to ask a question please use the Q&A function on the right corner of your screen.

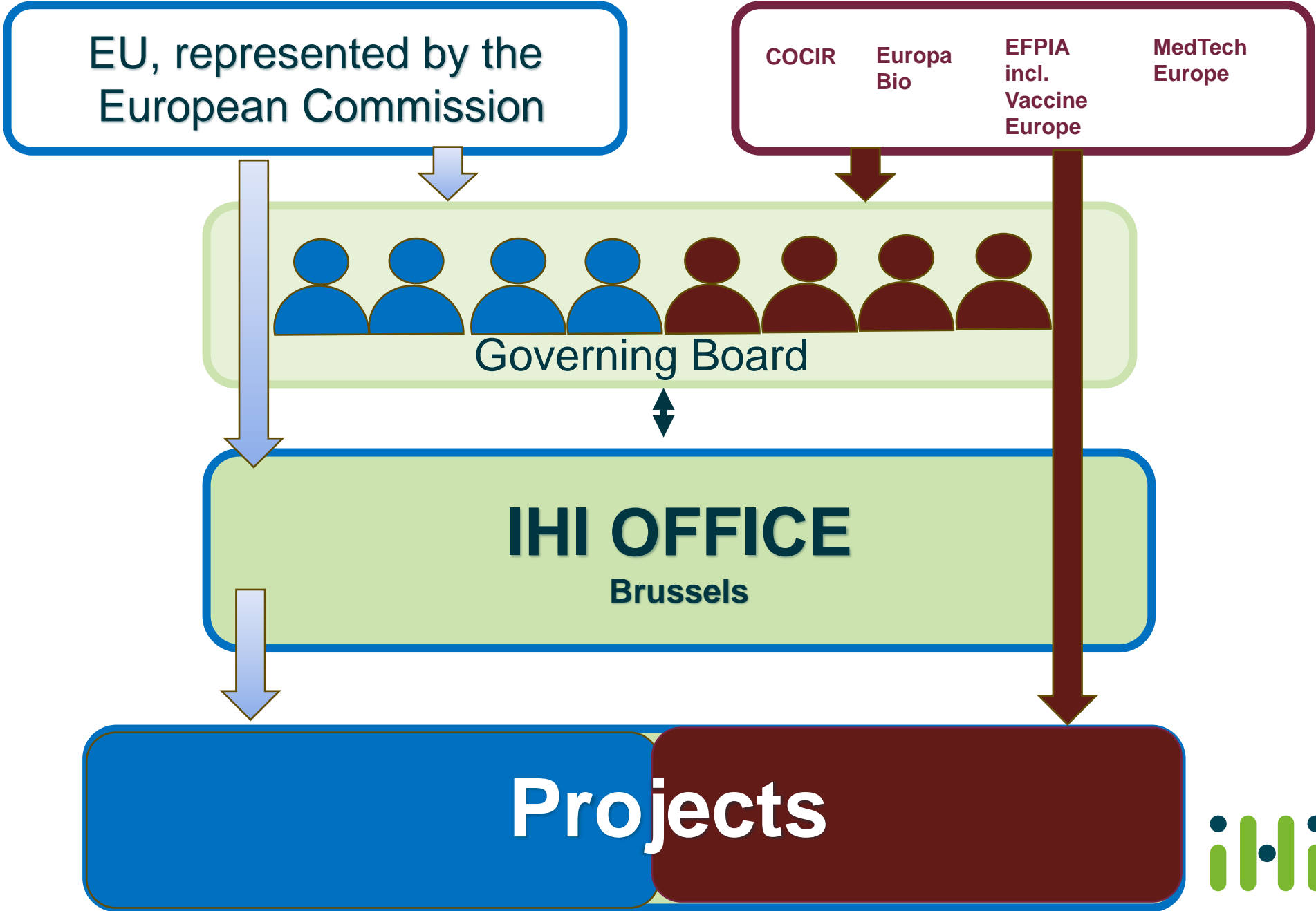
Structure / Content of this webinar

1. Introduction: IHI and Call 9 Finances
2. Types of participants in a consortium
3. The five cost categories
4. The Matching Principle: three contributions types
 - 1) IKOP - In-kind contribution to operational activities
 - 2) FC - Financial Contributions
 - 3) IKAA - In-kind contribution to additional activities
5. One key threshold : minimum 45% contribution
6. How to submit a Proposal 's budget
7. Practical example
8. Final considerations and Questions & Answers








1.
IHI and call 9 finances



IHI industry associations

Associations	Represent	Mission/Vision
	Pharmaceutical industry	To create a collaborative environment that enables our members to innovate, discover, develop and deliver new therapies and vaccines for people across Europe, as well as contribute to the European economy.
	Medical imaging, radiotherapy, health ICT and electromedical ind.	COCIR's key objective is to promote free worldwide trade of innovative medical technology while maintaining the competitiveness of the European medical imaging, radiotherapy, electromedical and health ICT industries.
	Medical technology industry	Make innovative medical technology available to more people, while helping healthcare systems move towards a more sustainable path.
	Vaccine industry	To support broad access to immunisation, enabling better protection of the health of individuals and the wider community throughout life, with both existing vaccines and those in development.
	Biotech industry	Committed to the socially responsible use of biotechnology to improve quality of life, to prevent, diagnose, treat and cure diseases, to improve the quality and quantity of food and feedstuffs and to move towards a biobased and zero-waste economy.

IHI JU Budget (2021-2027)

50%-50% matching

**EU
Funding
EUR 1.2
Billion
Cash**

**Industry
EUR 1.0
Billion
in kind
and cash**

**Contributing Partners
EUR 0.2 Billion**

IHI Call 9 budget

Topic	Maximum EU contribution (EU funding envelope)	=	in-kind and cash contribution
1	25 million		25 million
2	100 million		100 million
3	30 million		30 million
4	24 million		24 million
5	12 million		12 million
	191 Million EU Funding Public funding (50 %)		191 Million Private funding (50 %)

50% matching is a target for Call 9
as 50% matching must be reached at overall Programme level

IHI Call 9 – budget per proposal

Topic	Maximum EU contribution (EU funding envelope)		in-kind and cash contribution		
1	8 million	+	8 million	=	16 million
2	15 million	+	15 million	=	30 Million
3	8 million	+	8 million	=	16 million
4	8 million	+	8 million	=	16 million
5	5 million	+	5 million	=	10 million



50% matching is a target for each proposal as 50% matching must be reached at overall Programme level

2.

Participants in a Consortium

- Entities eligible to participate
- Entities eligible for Funding
- Private Members
- Contributing Partner
- Hybrid Participants
- Associated Partners

Entities eligible to participate

Any legal entity, **regardless of its place of establishment**, including legal entities from non-associated third countries or international organisations, is eligible to participate (whether it is eligible for funding or not)

Example: **US participants**

Can participate but are **NOT** eligible to receive EU funding (unless considered 'essential' for the project by external evaluators).



Entities eligible to receive EU funding

Single stage call

Any Entity established

- in the 27 EU Member States,
- in the 19 third countries associated to Horizon Europe Programme including among others Canada, UK.

UK entities are eligible to receive EU funding

Swiss entities: pending signature of agreement -

NEW !

- in a low- or middle-income country.

- Example: academics, non-profit, patient organisations, sme, large organisations

Full list of third countries associated to Horizon Europe

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation_horizon-atom_en.pdf

IHI Private members (PM)

- **Four** Industry trade associations (EFPIA includes Vaccines Europe subgroup)



- “Constituent entities”: members of these associations
- Affiliated entities of the above entities (financial or legal link)

Contributing Partners (CP)

- organisations that are not members of the industry associations, but still interested in funding or participating in IHI projects
- Established in any country
- Their contribution (IKOP and/or FC) must fulfil the cost eligibility criteria ([Art 6 of Grant Agreement](#))
- Application process:
 - submitting a letter to IHI Governing Board (GB) before the call deadline
 - approved by the IHI Governing Board
- More information on contributing partners on [IHI website /become-contributing-partner](#)

Hybrid Participants

Private Member or Contributing Partner that are both

- providing in Kind contribution

AND

- requesting EU funding



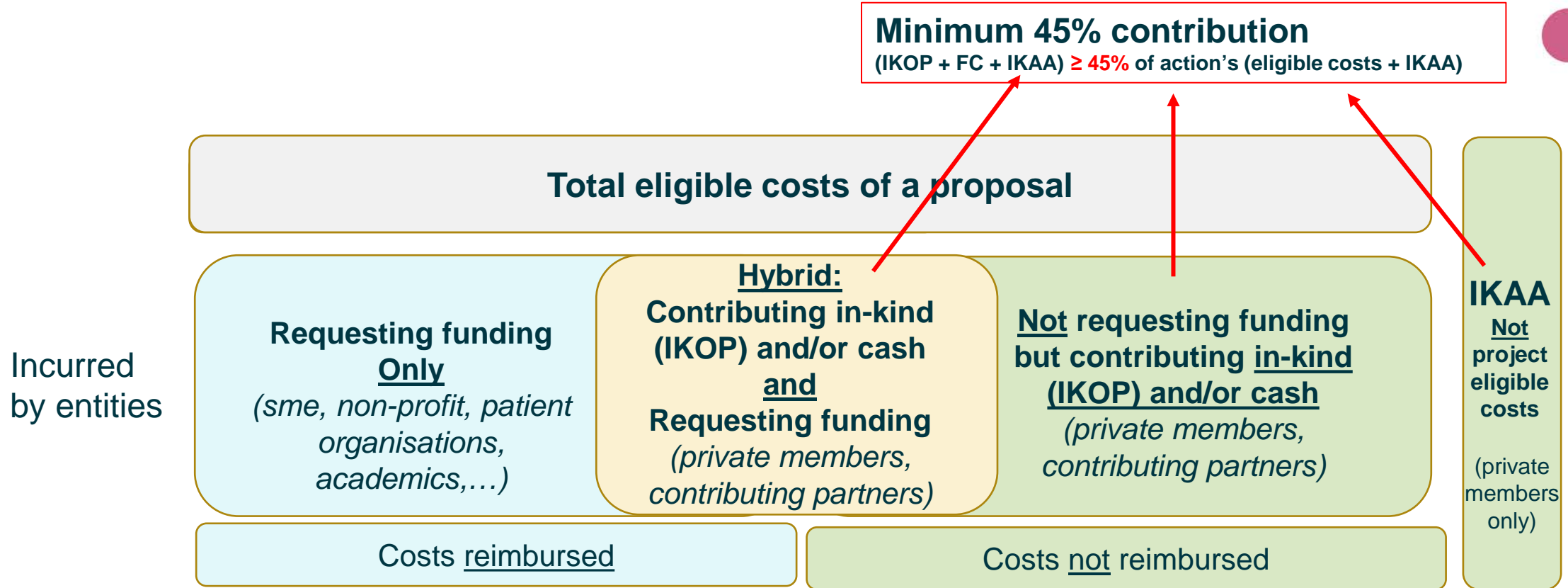
Associated Partners

Case A : they participate at their own costs
and do not receive EU FUNDING
they do not sign the grant agreement (but are listed in their
tasks are mentioned in the Description of the Action).

Case B: They are affiliated to private member or a contributing partner
that participates as Beneficiary in the consortium
they are required to have a legal or capital link with the
designated beneficiary.

Type of Participants and budget

Single-stage call





3.

- The five cost categories

Example call 9 - Topic 2

EU funding
15 Million

In kind contribution
15 Million

Five cost categories

- A. Personnel costs
- B. Subcontracting costs
- C. Purchase costs
- D. Other
- E. Indirect costs 25%

Same as Horizon Europe
projects

1. IKOP – in kind
 - A. Personnel costs
 - B. Subcontracting costs
 - C. Purchase costs
 - D. Other
 - E. Indirect costs 25%

2. Cash (Financial
Contributions)

3. IKAA

Five costs categories

A. Personnel costs	B. Subcontracting costs	C. Purchase costs			D. Internally invoiced goods and services (Unit costs)	E. Indirect costs	Total eligible costs
		Travel and subsistence	Equipment	Other goods, works and services			

All costs must fulfill cost eligibility criteria ([Art 6 MGA](#)):

actual/real, recorded in the accounts, foreseen in the budget, necessary for the action, documented/auditable, etc.



Only eligible costs can be reimbursed or be considered as in-kind contribution (IKOP)

Budget - Cost categories

Similar to Horizon Europe

- A. Personnel costs
- B. Subcontracting costs
- C. Purchase costs
- D. Other: Internally invoiced costs
- E. Indirect costs 25% flat rate

A .Personnel costs (art 6.2 A in MGA)

- Employees or equivalent (*fixed salary, employment contract*)
- Natural persons under direct contract other than an employment contract (*e.g in house-consultant*)
- Seconded personnel
- SME owners/natural persons without a salary (unit cost)

A. Personnel costs and Staff effort

STAFF EFFORT

Staff effort per participant

Grant Preparation (Work packages - Effort screen) — Enter the info.

Participant	WP1	WP2	WP3	WP4	WP5	WP6	WP7	WP8	Total Person-Months
1 -	30.00	22.00	51.00	108.50	11.00	37.00	40.50	25.00	325.00
2 -	1.00	2.00	34.00	34.00	61.00	44.00	3.00	4.00	183.00
3 -	1.00	2.00	4.00	10.00	4.00	20.00		1.00	42.00
4 -	1.00	2.00	22.00	14.00	15.00			2.00	56.00
5 -	1.00	0.50		0.50	0.50	15.20		1.50	19.20

A. Personnel costs and Staff effort



Consistency between staff effort
and Personnel costs

B. Subcontracting costs ([art 9.3 and art 6.2 B in MGA](#))

- Beneficiary contracts a third party to work on project tasks
- Contractual link between subcontractor and beneficiary based on business conditions as it includes a profit margin for the subcontractor
- Selection of subcontractor: the beneficiary should follow its usual internal practice, ensure best value for money (or lowest price if appropriate), transparency, no conflict of interest
- No subcontracting allowed between beneficiaries

C. Purchase costs [\(art 6.2 C in MGA\)](#)

- Travel, accommodation and subsistence
- Equipment (depreciation cost – part used for the project)
- Other goods, works or services, if necessary to implement the project
 - normally limited in scope (not project tasks)
 - If to implement project tasks → considered as subcontracting

D. Other: Internally invoiced goods and services

[\(art 6.2 D2 MGA\)](#)

- Goods or services that the beneficiary itself produces or provides to be directly used for the action (e.g: self-produced consumables, specific devices or facilities,...)
- Calculated as Unit cost following the beneficiary's usual cost accounting practices
- Fulfill the general eligibility conditions ([Art 6 MGA](#))

Indirect costs [\(art 6.2 E in MGA\)](#)

- Fixed flat rate as 25% of the direct costs (excluding subcontracting costs and internally invoiced goods and services)
- Calculated automatically for all beneficiaries (also companies providing IKOP)



No indirect costs should be included in the other costs categories, as the system automatically add up 25% indirect costs (in order to avoid double counting)

Funding rate: 100%

Total Eligible costs	Funding rate	Maximum EU contribution	Requested EU contribution
5 costs categories	Always 100% <i>(Automatic)</i>	= total eligible costs x Funding rate <i>(Automatically calculated)</i>	Amount of EU contribution requested to reimburse part/all project eligible costs <i>(To encode manually)</i>



Difference between
Max EU contribution (automatically calculated)
And
Requested EU contribution

Important

- Budget (Proposal) vs. **actual costs (reporting)**
 - Not a lump sum grant
- Difference between cost and price
 - Only purchase cost can be reimbursed
 - Not sale price



4.

- Matching principle:
the three contributions types

Example call 9 - Topic 2

EU funding
15 Million

In kind contribution
15 Million

Five cost categories

- A. Personnel costs
- B. Subcontracting costs
- C. Purchase costs
- D. Other
- E. Indirect costs 25%

Same as Horizon Europe
projects

1. IKOP – in kind
 - A. Personnel costs
 - B. Subcontracting costs
 - C. Purchase costs
 - D. Other
 - E. Indirect costs 25%

2. Cash (Financial
Contributions)

3. IKAA

3 types of contribution

- **IKOP** - In-kind contributions to OPerational activities
- **FC** - Financial contributions
- **IKAA** - In-kind contributions to Additional Activities

Private members (PM)

Contributing Partners (CP)



Organisations that are Not Private members or Contributing partners
Cannot contribute IKOP, IKAA and FC

3 contributions types

- 1) IKOP
- 2) Financial Contributions paid
- 3) IKAA – in kind contributions to additional activities



IKOP – In kind contributions

- Only for private members and contributing partners
- Consist of eligible costs incurred in implementing project tasks
(the five cost categories: personnel costs, subcontracting costs, other direct costs, ...)
- Companies providing IKOP are subject to the same rights and obligations as any other beneficiary signing the GA
 - Same cost **eligibility criteria** ([Art 6 of MGA](#))
 - Same costs calculation methods
 - Same rules for reporting ...



EU IKOP vs non-EU IKOP

Contribution types

EU IKOP	Non-EU IKOP max 20%
Eligible costs incurred <u>WITHIN</u> <ul style="list-style-type: none">▪ 27 Members States▪ 19 Third countries associated to Horizon Europe	Eligible costs incurred <u>OUTSIDE</u> <ul style="list-style-type: none">▪ 27 Members States▪ 19 Third countries associated to Horizon Europe
Example: UK, Canada	Example: United States

NEW !

Switzerland: pending signature of agreement

Full list of third countries associated to Horizon Europe

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation_horizon- Euratom_en.pdf

Non-EU IKOP: max 20% for call 9

Maximum limit

- Project level: **Twenty Percent (20%) non EU for IHI call 9**
If more than 20% → in case the proposal is selected, at grant preparation stage, non-EU should be reduced to maximum 20% and the minimum of 45% contribution maintained



[Annex to the Budget and Type of Participants](#) automatically calculates the non-EU threshold

- **Criteria:** where the underlying activities are carried out. Does not take into account where the company is established.

e.g: company based in the US but activities carried out in Germany → EU IKOP

company based in Germany but activities carried out in the US → Non-EU IKOP

Start date of the project

Start date of the grant: first day of the month after signature of the Grant agreement by both parties.

Important for the in-kind contribution:

FAQ : may costs / investments made in previous years count as IKOP ? NO, only costs / investments made **after the start date** of the project.

3 types of contributions

- 1) IKOP
- 2) Financial Contributions paid
- 3) IKAA – in kind contributions to additional activities



Financial contributions (FC) paid

- Count for the matching (45% threshold)
- Cash paid by a **private member** or a **contributing partner** to a beneficiary to support (a part of) its project eligible costs
the recipient of the FC must be eligible for receiving funding).
Example : pharma company pays an academic to cover costs of a Phd
- In Proposal part b (table 3.1k): Financial contributions to be explained:
 - private member/contributing partner paying the FC,
 - the FC-Financial Contribution amount,
 - the name of the beneficiary receiving the FC-Financial Contribution
 - A description of project activities funded by the FC

3 types of contributions

- 1) IKOP
- 2) Financial Contributions paid
- 3) IKAA – in kind contributions to additional activities



IKAA in an IHI proposal

- Only for private members, not for contributing partners
- “Additional activities” must fulfil all below conditions:
 1. contribute towards the achievement of objectives of the IHI projects, or towards the dissemination, sustainability or exploitation of the IHI project results
 2. not be funded by the IHI or any other Union funding programme
 3. be carried out in the European Union or in third countries associated with HE
 4. be described in the [Annex on IKAA](#) of the Proposal
 5. not be project task(s) (i.e not IKOP as per DoA-Description of the Action)

IKAA \leq **40%** of (IKOP + IKAA) from private members at Programme level.

No limit at project level but if **40% exceeded** → PM(s) to inform association(s) office(s)

IKOP

in kind contribution

IKAA

in Kind contribution to
additional activities

Project tasks

eligible costs in implementing **project tasks**/activities as described in the Description of the Action (DoA)

NOT project tasks (not essential)

costs for additional activities that are not project tasks

Eligible costs

subject to HE's rules (same rules as for any beneficiary signing the GA)

non-eligible costs

can be valued following the usual cost accounting practices of the company (not subject to HE cost eligibility conditions)

Both Private Members and Contributing Partners

Only Private Members

In or outside EU or associated countries

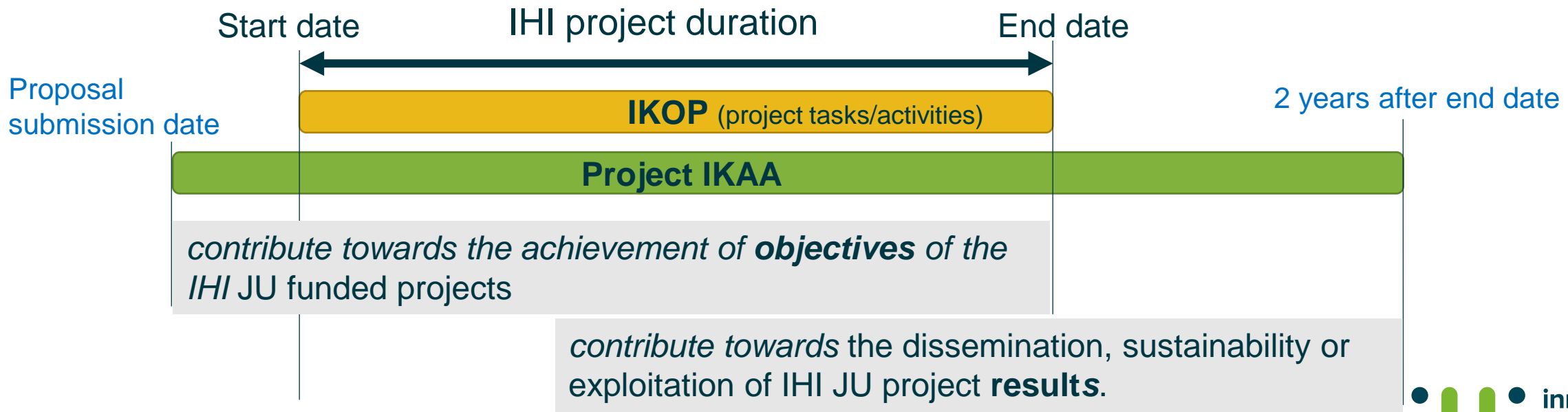
Only within EU or associated countries

Reporting: project deadline

different reporting deadline, different reporting tool,...

IKAA versus IKOP

- **IKOP**: cost must incur during the project implementation
- **IKAA**: costs can incur between the date of submission of the proposal and up to 2 years after project end date



Project IKAA

What not?

- ✗ Preparation activities for the Consortium agreement (mandatory prerequisite)
- ✗ Activities essential to reach project objectives (must be IKOP)
- ✗ Activities carried out outside the EU or HE associated countries
- ✗ Activities considered IKOP/IKAA in another IHI proposal (no double counting)
- ✗ Activities for which the private member does not effectively incur costs during the eligibility timeframe

Doubt? Question? You can always contact the IHI JU Office

IKAA: dedicated Annex

IHI website : annex: [in kind contribution to additional activities](#)


Company name	Category of the additional activity (select)	Type of additional activity (select)	Title of the additional activity	Brief description of the additional activities	Complete description of the additional activities	Estimated period for the additional activity (before/during/after project)	Link to IHI Project (explain how it contributes to the IHI project objectives,	Estimated TOTAL value (Insert [amount] in EUR)

- **Not published** : information only shared with the applicant consortium, IHI Office and IHI Governing Board
- **Published** : information published on IHI website.
 - All information must be provided (no empty cells)
 - Must be understandable by any reader (no acronyms)
 - Specific, clear and concise

Summary

3 types of contributions

1. IKOP- In kind contribution to OPerational activities
Including non – EU (max. 20%)
2. FC- Financial Contribution paid
3. IKAA- In kind contribution to Additional activities



5.
One key threshold :
minimum 45% matching

At least 45% matching, but ideally 50%

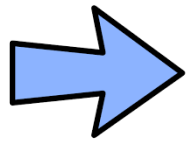
How is it calculated ?

$$(IKOP + FC + IKAA) \geq 45\% \text{ of (action's eligible costs + IKAA)}$$

IKOP: In kind contribution

FC: Financial Contribution

IKAA : In kind contribution to additional activities.



[Annex to the Budget and Type of Participants](#) verifies automatically if the proposal reaches 45%

Eligibility criterion : If threshold is not reached collectively → the proposal is NOT evaluated

Min 45% contribution

- Coordinator of the proposal must submit a [Declaration on contributions](#) (Annex) to confirm that the 45% commitment.
- 45% commitment may take the form of
 - IKOP: In kind contribution
 - FC: Financial Contribution
 - IKAA : In kind contribution to additional activities.
- Only private members and contributing partners may contribute to the 45% (during GAP, IHI office checks if private members are indeed members and if contributing partners have been approved by the IHI GB).
- 45% must be maintained during project implementation
- Prudent approach recommended for proposals: target 50%



6.

How to Submit a proposal 's
budget.

Submission of the proposal's budget through two complementary tools:

1) Standard EC Budget in the EC IT tool

Budget Figures uploaded directly in the Funding and tender portal).

2) IHI specific tool: [Annex to the Budget and Type of participants](#)

Budget figures entered in an Excel document, which is uploaded in the Funding and tender portal.

Budget table in EC submission tool (funding and tenders portal)

3 - Budget

No.	Name of beneficiary	Country	Role	Personnel costs/€	Subcontracting costs/€	Purchase costs - Travel and subsistence /€	Purchase costs - Equipment/€	Purchase costs - Other goods, works and services/€	Internally invoiced goods and services/€ (Unit costs-usual accounting practices)	Indirect costs/€	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs/€	Max grant amount	Income generated by the action	Financial contributions	Own resources	Total estimated income
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standard budget table for all Horizon Europe grants

Does **not** contain IHI specific budget items (contributions types): **IKOP, FC paid, IKAA not available**

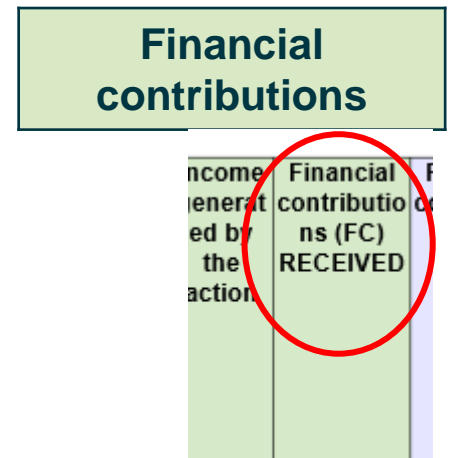
→ Budget in proposal Part A is **incomplete**

→ the 45% threshold cannot be calculated by the EC tool.

EC Budget table

1. Participant Number
2. Name of the Beneficiary
3. Country
4. Role : coordinator or Partner
5. Cost categories
 - Personnel costs
 - Subcontracting costs
 - Purchasing costs
 - Other Internally invoiced goods
 - Indirect costs – automatically calculated
6. Total eligible costs:
7. Funding rate : 100%
8. Requested EU Funding
9. ...
10. Financial Contribution received

Financial contributions received (FC)



- Beneficiaries receiving a FC from the industry must ensure they do not request EU funding for the costs covered by this FC
- Financial contribution includes FC received from Private Members, Contributing Partners, regional funds,...

2nd Tool: Annex to the Budget and Type of Participants

Participant type <i>(please select)</i>	Participant legal name	Total Eligible costs (include personnel, purchase, subcontracting, internally invoiced goods and services and 25% indirect costs)	Funding Rate	Max EU contribution to eligible costs [Funding rate * Total eligible costs]	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions (FC) RECEIVED	Financial contributions (FC) PAID	IKOP (Total eligible costs - requested EU contribution - FC received)	Non-EU part of IKOP	Own resources	Total estimated income [columns N+O+P+R+T]	IKAA
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- Includes the contribution types: IKOP, non-EU IKOP, FC paid, IKAA
- [Mandatory Annex to the Budget and Type of Participants](#) – **to be submitted** as part of the proposal
 - ✓ calculates automatically the thresholds
 - ✓ does not allow values to be entered in cells when not applicable
 - ✓ figures become red when something is wrong
 - ✓ Warning messages to avoid wrong data are entered



Call 9 IHI Annex to the Budget and Type of Participants

Excel document with 5 tabs:

0. Guidance (Instructions)
1. Type of participants (compulsory)
2. Detailed budget (compulsory)
3. Simplified budget (optional)
4. Check results: no input result 45 % threshold reached.

Hyperlink to: [Annex to the Budget and Type of Participants](#)

1. Type of participants (compulsory)

1 row for each participant

A	B	C	
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IHI JU Type of participants

First read the "Guidance" tab before filling in the below

PARTICIPANT Number <i>(please follow the proposal order, Part A)</i>	PARTICIPANT NAME <i>(please follow the proposal order, Part A)</i>	Participant type <i>(please select from the drop down menu)</i>	Ma
1	University ABC	Beneficiary requesting funding	
2	Company A	COCIR – IHI Private Member	
3	Company B	EFPIA including VaccinesEurope – IHI Private Member	
4	Company C	EuropaBio – IHI Private Member	
5	Company D	MedTech Europe – IHI Private Member	
6	Company E	Contributing Partner	
7	Company F	Associated Partner	▼



**Editable
cells**

**Drop Down
Menu**



2. 'Detailed budget' tab (compulsory)

- 1 row for each participant

Participant type <i>(this is automatically filled in according to the participant type tab)</i>	Participant legal name <i>(this is automatically filled in according to the participant type tab)</i>	Total Eligible costs (include personnel, purchase, subcontracting, internally invoiced goods and services and 25% indirect costs)	Funding Rate	Max EU contribution to eligible costs [Funding rate * Total eligible costs]	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions (FC) RECEIVED	Financial contributions (FC) PAID	IKOP (Total eligible costs - requested EU contribution - FC received)	Non-EU part of IKOP	Own resources	Total estimated income [columns G+H+I+K+M]	IKAA
1 Beneficiary requesting funding	University ABC	€500,000.00	100%	€500,000.00	€0.00	€0.00	€0.00	/	/	/	€0.00	€0.00	/
2 COCIR – IHI Private Member	Company A	€0.00	100%	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	/	€0.00	€0.00
3 EFPIA including VaccinesEurope – IHI Private Member	Company B	€0.00	100%	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	/	€0.00	€0.00
4 EuropaBio – IHI Private Member	Company C	€0.00	100%	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	/	€0.00	€0.00
5 MedTech Europe – IHI Private Member	Company D	€0.00	100%	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	/	€0.00	€0.00
6 Contributing Partner	Company E	€0.00	100%	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	€0.00	/	€0.00	/
7 Associated Partner	Company F	/	100%	€0.00	€0.00	/	€0.00	/	/	/	€0.00	€0.00	/

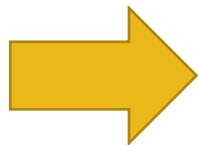
Cells
editable



Cells
calculated
automatically



Cells not applicable



Check results and correct if necessary

3. Simplified budget (optional)

- Only 3 rows
1 row per type of participant
- To test different budget scenarios
- Verify quickly if your proposal is eligible/reaches the 45% threshold for the matching

Participant types	TOTAL ELIGIBLE COSTS	REQUESTED EU FUNDING	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
	Total costs include personnel, purchase, subcontracting and 25% indirect costs*	Up to 100% of total costs for entities eligible to receive funding**	Cash received by PMs/CPs/other sources to cover eligible costs	IKOP equals (Total eligible costs - Requested EU funding - FC received). It includes both EU and non-EU IKOP.	Part of IKOP that is "Non-EU". Non-EU IKOP must be ≤ IKOP	Cash paid by PMs/CPs to beneficiaries receiving funding (not in proposal budget)	Costs for additional activities	(IKOP + FC paid + IKAA)
All Beneficiaries requesting funding only (BRs) (not providing IKOP/FC/IKAA)	10,000,000	10,000,000	€0	/	/	/	/	/
All IHI private members (PMs) (providing IKOP/FC/IKAA)	19,000,000	5,000,000	€0	14,000,000	€0	€0	€0	14,000,000
All IHI contributing partners (CPs) (providing IKOP/FC)	1,000,000	€0	€0	1,000,000	€0	€0	/	1,000,000
TOTAL	30,000,000	€15,000,000	€0	15,000,000	€0	€0	€0	15,000,000

Cells editable

Cells calculated automatically

Cells not applicable

4. Check results



Blocking



CHECK RESULTS:	Formula
MANDATORY CHECK 45% ELIGIBILITY CRITERIA	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)

Result	Action needed
If < 45%	Increase IKOP, FC or IKAA to reach 45%

Result
if 45 - 49%

Result
If $\geq 50.00\%$

IMPORTANT CHECK 20% NON-EU IKOP	Max 20% non-EU IKOP
OPTIONAL CHECKS	Total FC paid - total FC received = 0
	Max 40% IKAA

If > 20%	Reduce at GAP but keep min. 45% contribution
If $\neq 0$	Check if correct
If > 40%	PM(s) to inform association office



Red but not blocking

Ensure consistency between figures in EC tool and IHI annex on Budget

IHI Annex on budget

Participant type <i>(please select)</i>	Participant legal name	Total Eligible costs (include personnel, purchase, subcontracting, internally invoiced goods and services and 25% indirect costs)	Funding Rate	Max EU contribution to eligible costs [Funding rate * Total eligible costs]	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions (FC) RECEIVED	Financial contributions (FC) PAID	IKOP Total eligible costs requested EU contribution - FC received)	Non-EU part of IKOP	Own resources	Total estimated income [columns N+O+P+R+T]	IKAA
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EC IT tool (proposal submission environment)

No.	Name of beneficiary	Country	Role	Personnel costs/€	Subcontracting costs/€	Purchase costs - Travel and subsistence /€	Purchase costs - Equipment/€	Purchase costs - Other goods, works and services/€	Internally invoiced goods and services/€ (Unit costs-usual accounting practices)	Indirect costs/€	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs/€	Max grant amount	Income generated by the action	Financial contributions	Own resources	Total estimated income
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* In case of discrepancies between values, the ones encoded in the EC IT tool will prevail.

Submitting the proposal's budget

1. First fill in the IHI Annex
check that the budget is correct in the IHI EXCEL (min 45% in kind contribution),
2. enter the budget in EC IT submission tool and ensure values are the same as in the IHI Excel Annex.
3. Upload the IHI Excel annex in the EC Portal

In case of discrepancies between figures, figures entered in the EC IT tool will prevail.

7. Practical Example

Single-stage call Proposal

See more examples in the [IHI guide for applicants](#)

EU funds available for targeted topic:	10,000,000
Matching needed by Private Members and Contributing partners contributions:	10,000,000*

*50% is a prudent approach but 45% of the action's (eligible costs + IKAA) is the required minimum

Step 1: Calculate the contribution from Private member(s) and/or Contributing partner(s) (IKOP, FC, IKAA)

(IKOP+FC+IKAA) needs to equal the total amount of EU funding available to consortium (EUR 10,000,000)

No	Participant type	Eligible Costs	Requested EU contribution	IKOP	IKAA	FC paid	Contribution (IKOP+FC+IKAA) = EC funding available
1.	Private member (Hybrid)	6,000,000	3,000,000	3,000,000	0	2,000,000	5,000,000
2.	Private member	2,000,000	0	2,000,000	0	0	2,000,000
3.	Contrib. partner	1,500,000	0	1,500,000	0	0	1,500,000
4.	Private member (Hybrid)	1,500,000	1,000,000	500,000	1,000,000	0	1,500,000
	TOTALS	11,000,000	4,000,000	7,000,000	1,000,000	2,000,000	10,000,000

Step 2: Calculate the remaining funding available for Beneficiaries requesting funding only ('public partners') - e.g. SMEs, Universities, ...

- [Total contribution (IKOP+FC+IKAA)] – [Industry requested EU contribution] = EU funding left available
- Based on the EU funding that remains available, bring on board the other beneficiaries

No	Participant type	Contribution (IKOP+FC+IKAA)	Requested EU contribution	Funding Remaining (Contribution – Reimbursement)
A	Private members (Hybrid)	5,000,000	3,000,000	2,000,000
B	Private members (only IKOP)	2,000,000	0	2,000,000
C	Contrib. partner (only IKOP)	1,500,000	0	1,500,000
D	Private members (Hybrid)	1,500,000	1,000,000	500,000
	TOTALS	10,000,000	4,000,000	6,000,000

= 10,000,000 – 4,000,000

Step 3: Add the beneficiaries requesting funding only ('public partners')

- Make sure their requested EU contribution remains within the limits available (EUR 6,000,000).
- If limit exceeded, compromise to be found between 'public partners' and hybrid participants.

Consortium Partner	Eligible Costs	Requested EU contribution	IKOP	IKAA	FC received	Total Contribution (IKOP+FC+IKAA)
Private 1.	6,000,000	3,000,000	3,000,000	0	0	5,000,000*
Private 2.	2,000,000	0	2,000,000	0	0	2,000,000
Private 3.	1,500,000	0	1,500,000	0	0	1,500,000
Private 4.	1,500,000	1,000,000	500,000	1,000,000	0	1,500,000
5. SME X	2,500,000	1,500,000	/	/	1,000,000	0
6. Large company Y**	3,000,000	1,500,000	/	/	0	0
7. Hospital Z	4,000,000	3,000,000	/	/	1,000,000	0
TOTALS	20,500,000	10,000,000	7,000,000	1,000,000	2,000,000	10,000,000

= 6,000,000

** Large company Y covers 1.5 Million of its costs with own resources.

* Includes 2,000,000 FC paid

Step 4: Fill-in the 'Simplified budget' tab of IHI Annex on budget (optional)

Participant types	Total eligible costs	Requested EU Contribution	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
All Beneficiaries requesting funding only (not providing IKOP/FC/IKAA)	€9,500,000	€6,000,000	€2,000,000	/	/	/	/	/
All IHI private members (requesting funding or not)	€9,500,000	€4,000,000	€0	€5,500,000	€0	€2,000,000	€1,000,000	€8,500,000
All IHI contributing partners (requesting funding or not)	€1,500,000	€0	€0	€1,500,000	€0	€0	/	€1,500,000
TOTAL	€20,500,000	€10,000,000	€2,000,000	€7,000,000	€0	€2,000,000	€1,000,000	€10,000,000

Step 4: IHI Annex on budget – ‘Check results’ tab



CHECK RESULTS:	Formula	Result	Action(s) needed
<u>MANDATORY</u> CHECK 45% ELIGIBILITY CRITERIA	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)	46.51%	Your proposal is eligible as the 45% threshold is reached. However, you could further increase IKOP, FC paid or IKAA (or a combination of those contributions) in order to secure 50% and adopt a prudent approach.
<u>OPTIONAL</u> CHECKS	Non-EU IKOP $\leq 20\%$ total IKOP	€0.00	The non-EU IKOP in your proposal remains under 30%. No action needed.
	Total FC paid - total FC received = 0	0	The total financial contributions paid equal the total financial contributions received. No action needed.
	$IKAA \leq 40\%$ total (IKOP + IKAA) from private members	12.50%	The IKAA in your proposal remains under 40%. No action needed.

Step 6: IHI Annex on budget – ‘Detailed budget’ tab (compulsory)

Step 7: IHI Annex on budget – ‘Check results’ tab and adapt if necessary

Step 8: When budget correct in IHI Annex, encode budget table in the EC IT submission tool and ensure consistency with values in Annex on budget

You’re done!

8.

Final consideration and Q& A

Reporting and Payments

Reference documents

Questions and Answer

GAP, start date and Reporting and Payments

- GAP – Grant Agreement preparation
- Start date
- The project is dividing in several reporting periods
 - First period = 12 months after the start date,
 - Subsequent reporting period = 18 months
- Upfront payment = pre-financing 30 to 40 % depending on number of reporting period.
- Reporting of **ACTUAL COSTS** incurred during the period → Important to document the costs incurred.

Reference documents - General

- [Horizon Europe General Model Grant Agreement \(MGA\)](#), including Annex 5 applicable to Jus
- [AGA](#) annotated version of MGA – version 1 May 2024
- [IHI guide for applicants](#)
- [IKAA guidelines](#)
- [Information guide for Contributing Partners](#)
- [Frequently asked questions](#) for IHI Calls

Call 9 – summary of documents

- [Call text- call 9](#)
- Proposal template **Part A** - generated by the IT system
- [Proposal template Part B](#)
- Annex: [Budget and Type of Participants](#) for Call 9 - Mandatory
- Annex: [Declaration of in kind contribution commitment](#)
- Annex: [Contributing Partners application letter](#) — only if Contributing Partners
- Annex: [in kind contribution to additional activities](#) - only if IKAA

- [FAQ on IHI call 9](#)

Tips

Have a look at successful projects of previous calls on IHI website:

- Consortium composition
- Number of Industry partners and budget per type of participants

<https://www.ihl.europa.eu/projects-results/project-factsheets/>

Call 9 Deadline for submission

29 April 2025





Thank you for your attention



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Calls 9 & 10



9 Jan

14:30 Call 10: PFAS exposure, emissions & end-of-life management

14 Jan

10:30 Call 9: General information

15 Jan

10:30 Call 9: Rules & procedures

15 Jan

14:30 Call 10: Secondary use of data in the European Health Data Space

16 Jan

10:30 Call 10: Rules & procedures

Online event

Questions time

If you want to ask a question, please use the Q&A function on the right corner of your screen